

Print from the website of the AMF

18 March 2020

ESMA requires short position holders to report positions of 0,1% and above

Considering the context of the Covid 19 outbreak, ESMA published on its website its decision dealing with reporting on short selling.

According to the ESMA's decision, short position holders in shares traded on an European Union regulated market are required to notify the relevant national competent authority (NCA) if the position reaches or exceeds 0.1% of the issued share capital after the entry into force of the decision. Short positions held before the into force of the ESMA decision are not concerned as far as a new threshold is not exceeded.

ESMA considers that lowering the reporting threshold is a precautionary action that, under the exceptional circumstances linked to the ongoing COVID-19 pandemic, is essential for authorities to monitor developments in markets. The measure can support more stringent action if required to ensure the orderly functioning of EU markets, financial stability and investor protection. ESMA considers that the current circumstances constitute a serious threat to market confidence in the EU, and that the proposed measure is appropriate and proportionate to address the current threat level to EU financial markets.

Read more

≥ ESMA requires net short position holders to report positions of 0.1% and above

ON THE SAME TOPIC

Subscribe to our alerts and RSS feeds



FINANCIAL PRODUCTS

21 December 2021

Speech by Benoît de Juvigny, AMF Secretary General - IOSCO SPAC Network Stakeholder Meeting - 16 December 2021







REPORT / STUDY

MARKETS

30 November 2021

Retail investors and their activity since the COVID crisis: younger, more numerous and attracted by new market participants



AMF NEWS RELEASE

MARKETS

08 November 2021

The AMF presents its 2021 Young Researcher Award in economics to Paul Karehnke







Legal information:

Head of publications: The Executive Director of AMF Communication Directorate. Contact: Communication Directorate – Autorité des marches financiers 17 place de la Bourse – 75082 Paris cedex 02