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Reference (eg. DOC-2020-02), keywords...



## II - Investment products

### II.1 - Collective investment schemes (CISs)

#### II.1.3. Specific provisions applicable to CISs open to all subscribers

##### II.1.3.2. Specific provisions applicable to Funds open to non-professional investors

**Applicable from 22 April 2024**

Instruction DOC-2011-20

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### Archives

- ✓ From 01 September 2021 to 05 October 2022 |  
Instruction DOC-2011-20



**Authorisation procedures, preparation of a KIID and a prospectus  
and periodic reporting for retail investment funds, funds of**

## alternative funds and professional alternative investment funds

Instruction DOC-2011-20 details the rules applicable to retail investment funds, funds of alternative funds and professional retail investment funds as to the authorisation process and the preparation of a key investor information document (KIID) and a prospectus and periodic reporting. In particular, it provides details about the creation of these funds, changes to them during their life (subject to pre-approval/subject to ex-post notification) and the procedures for notifying investors and the AMF.

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### Reference texts

Articles 421-1, 421-13, 421-33, 421-34, 421-38, 422-7, 422-11, 422-16,  422-17, 422-250 and 423-1 of the AMF General Regulation 

### [Links](#)

 [Commission Regulation \(EU\) No 583/2010](#) 

 [From 10 July 2020 to 15 March 2021 | Instruction DOC-2011-20](#)

## Authorisation processes, preparation of a KIID and a prospectus and periodic reporting for retail investment funds, funds of alternative funds and professional retail investment funds

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 Commission Regulation (EU) No 583/2010 

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Articles 421-1, 421-13, 421-33, 421-34, 422-7, 422-11, 422-16, 422-17,  
↘ 422-250 and 423-1 of the General Regulation [↗](#)

### Links

↘ Commission Regulation (EU) No 583/2010 [↗](#)

↘ From 26 November 2019 to 10 March 2020 |  
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Articles 421-1, 421-13, 421-33, 421-34, 422-7, 422-11, 422-16, 422-17,  
↘ 422-250 and 423-1 of the AMF General Regulation [↗](#)

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↘ Commission Regulation (EU) No 583/2010 [↗](#)



✓ From 26 April 2018 to 25 November 2019 | Instruction DOC-2011-20

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Instruction DOC-2011-20 details the rules applicable to retail investment funds, funds of alternative funds and professional retail investment funds as to the authorisation process and the preparation of a key investor information document (KIID) and a prospectus and periodic reporting. In particular, it provides details about the creation of these funds, changes to them during their life (subject to pre-approval/subject to ex-post notification) and the procedures for notifying investors and the AMF. This document has not been updated for the laws and regulations that transpose MIF 2 and legally separate investment firms from asset management companies. The update will take place in the near future.

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**Reference texts**

Articles 421-1, 421-13, 421-33, 421-34, 422-7, 422-11, 422-16, 422-17, 422-250 and 423-1 of the AMF General Regulation [↗](#)

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422-250 and 423-1 of the AMF General Regulation [↗](#)

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- ✓ From 23 May 2014 to 07 January 2015 | Instruction  
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**Procédures d'agrément, établissement d'un DICI et d'un prospectus et information périodique des fonds d'investissement à vocation générale, fonds de fonds alternatifs et fonds professionnels à vocation générale**

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## Keywords

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