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Reference (eg. DOC-2020-02), keywords...



## III - Providers

### III. 3 - Anti-money laundering and combating the financing of terrorism

Applicable from 12 March 2024

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Position DOC-2019-14

## Guidelines on risk factors

### Document

### Summary

The AMF applies the European Supervisory Authorities' Joint Guidelines, which explain the relevant ML/TF risk factors that financial sector professionals should consider to best adjust the scope of their customer due diligence measures. Chapters 8 and 9 of Title III of the Guidelines include sectoral developments relating to individual asset management and investment advisory services, as well as collective management.

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## Reference texts

- Articles L. 561-4-1, L. 561-9 and L. 561-10-1 of the French Monetary and Financial Code (in french only) [↗](#)
- ↘ Article 320-19 of the General Regulation
- ↘ Article 321-146 of the General Regulation
- ↘ Article 325-22 of the General Regulation
- ↘ Article 560-9 of the General Regulation

## Links

- Guidelines under Articles 17 and 18(4) of Directive (EU) 2015/849 on customer due diligence and the factors credit and financial institutions should consider when assessing the money laundering and terrorist financing risk associated with individual business relationships and occasional transactions (“The ML/TF Risk Factors Guidelines”), repealing and replacing Guidelines JC/2017/37 (consolidated version) [↗](#)

## Archives

- ↘ From 24 November 2021 to 11 March 2024 | Position DOC-2019-14


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- Article 321-146 of the General Regulation

- ✓ From 29 November 2019 to 23 November 2021 | Position DOC-2019-14

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### Reference texts

- Articles L. 561-4-1, L. 561-9 and L. 561-10-1 of the French Monetary and Financial Code (in french only) 
- Articles 320-19, 321-146 and 550-9 of the General Regulation

