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Reference (eg. DOC-2020-02), keywords...



III - Providers

III.1 - Investment services providers

III.1.3. Rules of conduct

Applicable from 23 October 2018

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Instruction DOC-2008-04

Application of business conduct rules to marketing of units or shares in UCITS or AIFs by asset management companies, management companies or managers (in french only)

Document

Summary

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Archives

- ✓ From 10 January 2014 to 22 October 2018 | Instruction DOC-2008-04

Application of business conduct rules to marketing of units or shares in UCITS or AIFs by asset management companies, management companies or managers

This instruction presents the business conduct rules applicable to marketing in France by French asset management companies, management companies established in another European Union Member State or managers established in third countries, of units or shares in UCITS or AIFs, with a view to their subscription or redemption by investors.

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Keywords

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MARKETING

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