



AUTORITÉ
DES MARCHÉS FINANCIERS

Print from the website of the AMF

Reference (eg. DOC-2020-02), keywords...



II - Investment products

II.1 - Collective investment schemes (CISs)

II.1.3. Specific provisions applicable to CISs open to all subscribers

II.1.3.4. Specific provisions applicable to employee investment undertakings

Applicable from 12 June 2023

Instruction DOC-2011-21

This page is not available in English at the moment

Archives

✓ From 01 September 2021 to 05 October 2022 |
Instruction DOC-2011-21

**Authorisation procedures, preparation of a KIID and a prospectus,
and reporting for employee investment undertakings**

Instruction DOC-2011-21 outlines the rules that apply to employee investment undertakings (FCPE or SICAVAS) regarding the authorisation process, the drawing up of a key investor information document (KIID) and prospectus, and periodic disclosure. Information is provided about the formation of an employee investment undertaking, any changes during the life of an employee investment undertaking (changes subject to pre-approval or changes subject to ex-post notification) and the means by which information is provided to subscribers and the AMF.

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Reference texts

Articles 424-1 et seq. of the AMF General Regulation [↗](#)

Links

Commission Regulation (EU) No 583/2010 of 1 July 2010 [↗](#)

From 10 July 2020 to 15 March 2021 | Instruction DOC-2011-21

Authorisation procedures, preparation of a KIID and a prospectus, and reporting for employee investment undertakings

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📄 [Articles 424-1 et seq. of the AMF General Regulation](#) [↗](#)

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▼ From 26 April 2018 to 25 November 2019 | Instruction DOC-2011-21

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life of an employee investment undertaking (changes subject to pre-approval or changes subject to ex-post notification) and the means by which information is provided to subscribers and the AMF. This document has not been updated for the laws and regulations that transpose MIF 2 and legally separate investment firms from asset management companies. The update will take place in the near future.

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Reference texts

📄 Articles 424-1 et seq. of the AMF General Regulation [↗](#)

▼ Links

📄 Commission Regulation (EU) No 583/2010 of 1 July 2010 [↗](#)

▼ From 15 March 2017 to 25 April 2018 | Instruction DOC-2011-21

Authorisation procedures, preparation of a KIID and a prospectus, and reporting for employee investment undertakings

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Reference texts

➤ [Articles 424-1 et seq. of the AMF General Regulation](#) [↗](#)

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➤ [Commission Regulation \(EU\) No 583/2010 of 1 July 2010](#) [↗](#)

▼ **From 08 November 2016 to 14 March 2017 | Instruction DOC-2011-21**

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- ✓ From 08 January 2015 to 13 March 2016 | Instruction DOC-2011-21

Procédures d'agrément, établissement d'un DICI et d'un prospectus et information périodique des fonds d'épargne salariale

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- ✓ From 23 May 2014 to 07 January 2015 | Instruction DOC-2011-21

Procédures d'agrément, établissement d'un DICI et d'un prospectus et information périodique des fonds d'épargne salariale

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Keywords

PERIODIC & ONGOING DISCLOSURES

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