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Reference (eg. DOC-2020-02), keywords...



II - Investment products

II.1 - Collective investment schemes (CISs)

II.1.3. Specific provisions applicable to CISs open to all subscribers

II.1.3.4. Specific provisions applicable to employee investment undertakings

Applicable from 22 April 2024

Instruction DOC-2011-21

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Archives

- ✓ From 01 September 2021 to 05 October 2022 |
Instruction DOC-2011-21

**Authorisation procedures, preparation of a KIID and a prospectus,
and reporting for employee investment undertakings**



Instruction DOC-2011-21 outlines the rules that apply to employee investment undertakings (FCPE or SICAVAS) regarding the authorisation process, the drawing up of a key investor information document (KIID) and prospectus, and periodic disclosure. Information is provided about the formation of an employee investment undertaking, any changes during the life of an employee investment undertaking (changes subject to pre-approval or changes subject to ex-post notification) and the means by which information is provided to subscribers and the AMF.

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Reference texts

Articles 424-1 et seq. of the AMF General Regulation [↗](#)

Links

Commission Regulation (EU) No 583/2010 of 1 July 2010 [↗](#)

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life of an employee investment undertaking (changes subject to pre-approval or changes subject to ex-post notification) and the means by which information is provided to subscribers and the AMF. This document has not been updated for the laws and regulations that transpose MIF 2 and legally separate investment firms from asset management companies. The update will take place in the near future.

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Reference texts

↘ Articles 424-1 et seq. of the AMF General Regulation [↗](#)

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↘ Commission Regulation (EU) No 583/2010 of 1 July 2010 [↗](#)

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- ✓ From 08 January 2015 to 13 March 2016 | Instruction DOC-2011-21

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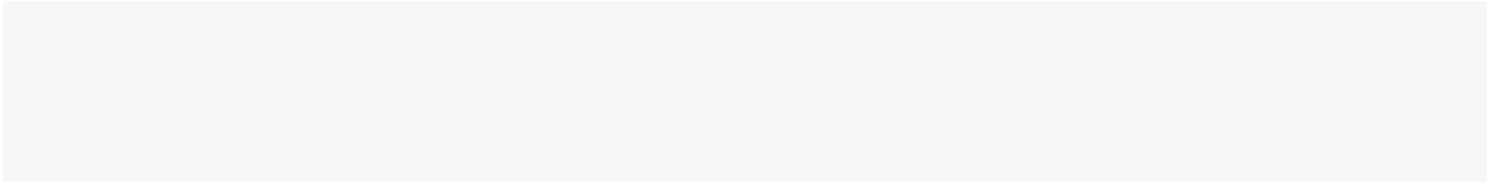
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