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Reference (eg. DOC-2020-02), keywords...



IV - Marketing - Customer relationship

IV. 2 - Specific provisions applicable to certain products

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Instruction DOC-2014-03

Procedure for marketing units or shares of AIFs

Document

Summary

Instruction DOC-2014-03 describes the procedure for marketing AIFs in France. It also specifies the procedure for marketing in another European Union Member State of AIFs managed by a French asset management company authorised under the AIFM Directive.

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Reference texts

Articles 421-A, 421-1, 421-3-1, 421-13, 421-13-1, 421-14, 421-14-1,
📄 421-27 and 421-27-3 of the AMF General Regulation 🔗

▼ Annexes

Marketing - AIF marketing - forms & declarations :
📄 annex A to 4-1 of AMF Instruction DOC-2014-03 🔗

Archives

▼ From 26 June 2018 to 01 August 2021 | Instruction
DOC-2014-03

Procedure for marketing units or shares of AIFs

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Reference texts

Articles 421-A, 421-1, 421-13, 421-13-1, 421-14 and 421-27 of the
📄 General Regulation 🔗

▼ Annexes

Notification file for marketing in France of units or shares of AIFs of the European Union (France included) managed by an asset management
📄 company authorised in France

Notification letter to be completed prior to marketing units or shares of a European Union AIF managed by an asset management company

- ✎ authorised in France in a European Member State other than France
- ✎ Items to be attached to the file referred to in Annex 2-1 for each AIF

Authorisation application file for marketing in France of third country

- ✎ AIFs or AIFs managed by a manager established in a third country

✓ From 25 September 2017 to 25 June 2018 | Instruction DOC-2014-03

Procedure for marketing units or shares of AIFs

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Reference texts

Articles 421-A, 421-1, 421-13, 421-13-1, 421-14 and 421-27 of the

- ✎ General Regulation [↗](#)

✓ Annexes

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✚ AIFs or AIFs managed by a manager established in a third country

✓ From 26 June 2015 to 24 September 2017 | Instruction
DOC-2014-03

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Reference texts

Articles 421-A, 421-1, 421-13, 421-13-1, 421-14 and 421-27 of the

✚ General Regulation [↗](#)

✓ **Annexes**

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Authorisation application file for marketing in France of third country

✚ AIFs or AIFs managed by a manager established in a third country

✓ From 08 January 2015 to 25 June 2015 | Instruction DOC-2014-03

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Reference texts

➤ Article 421-1 of General Regulation [↗](#)

➤ Article 421-13 of General Regulation [↗](#)

➤ Article 421-14 of General Regulation [↗](#)

✓ Annexes

Notification file for marketing in France of units or shares of AIFs of the European Union (France included) managed by an asset management company authorised in France

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➤ Items to be attached to the file referred to in Annex 2-1 for each AIF

Authorisation application file for marketing in France of third country AIFs or AIFs managed by a manager established in a third country

✓ From 30 June 2014 to 07 January 2015 | Instruction DOC-2014-03

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Reference texts

- [Article 421-1 of General Regulation](#)
- [Article 421-13 of General Regulation](#)
- [Article 421-14 of General Regulation](#)

▼ Annexes

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