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Reference (eg. DOC-2020-02), keywords...



IV - Marketing - Customer relationship

IV. 2 - Specific provisions applicable to certain products

Applicable from 28 March 2024[Print](#) [Download](#)

Instruction DOC-2014-03

Procedures for pre-marketing or marketing units or shares of AIFs

Document

Summary

Instruction DOC-2014-03 describes the procedure for marketing AIFs in France. It also specifies the procedure for marketing in another European Union Member State of AIFs managed by a French asset management company authorised under the AIFM Directive.

[↓ Download policy](#)[↓ Download the full policy preview](#)

Reference texts

- Article 421-A of the General Regulation
- Article 421-1 of the General Regulation
- Article 421-3-1 of the General Regulation
- Article 421-13 of the General Regulation
- Article 421-13-1 of the General Regulation
- Article 421-14 of the General Regulation
- Article 421-14-1 of the General Regulation
- Article 421-27 of the General Regulation
- Article 421-27-3 of the General Regulation

▼ Annexes

Marketing - AIF marketing - forms & declarations :

- annex A to 4-1 of AMF Instruction DOC-2014-03

Archives

- ▼ From 02 August 2021 to 27 March 2024 | Instruction DOC-2014-03

Procedure for marketing units or shares of AIFs

Instruction DOC-2014-03 describes the procedure for marketing AIFs in France. It also specifies the procedure for marketing in another European Union Member State of AIFs managed by a French asset management company authorised under the AIFM Directive.



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Reference texts

Articles 421-A, 421-1, 421-3-1, 421-13, 421-13-1, 421-14, 421-14-1,
↘ 421-27 and 421-27-3 of the AMF General Regulation [↗](#)

▼ Annexes

Marketing - AIF marketing - forms & declarations : annex A to 4-1 of
↘ AMF Instruction DOC-2014-03 [↗](#)

▼ From 26 June 2018 to 01 August 2021 | Instruction DOC-2014-03

Procedure for marketing units or shares of AIFs

Instruction DOC-2014-03 describes the procedure for marketing AIFs in France. It also specifies the procedure for marketing in another European Union Member State of AIFs managed by a French asset management company authorised under the AIFM Directive.

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Reference texts

Articles 421-A, 421-1, 421-13, 421-13-1, 421-14 and 421-27 of the
↘ General Regulation [↗](#)

▼ Annexes

Notification file for marketing in France of units or shares of AIFs of the European Union (France included) managed by an asset management
↘ company authorised in France



- Notification letter to be completed prior to marketing units or shares of a European Union AIF managed by an asset management company
- ✚ authorised in France in a European Member State other than France
- ✚ Items to be attached to the file referred to in Annex 2-1 for each AIF
- Authorisation application file for marketing in France of third country
- ✚ AIFs or AIFs managed by a manager established in a third country

✓ From 25 September 2017 to 25 June 2018 | Instruction DOC-2014-03

Procedure for marketing units or shares of AIFs

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Reference texts

- Articles 421-A, 421-1, 421-13, 421-13-1, 421-14 and 421-27 of the
- ✚ General Regulation [↗](#)

✓ Annexes

- Notification file for marketing in France of units or shares of AIFs of the European Union (France included) managed by an asset management
- ✚ company authorised in France
- Notification letter to be completed prior to marketing units or shares of a European Union AIF managed by an asset management company
- ✚ authorised in France in a European Member State other than France
- ✚ Items to be attached to the file referred to in Annex 2-1 for each AIF



Authorisation application file for marketing in France of third country

- ✚ AIFs or AIFs managed by a manager established in a third country

- ✓ From 26 June 2015 to 24 September 2017 | Instruction DOC-2014-03

Procedure for marketing units or shares of AIFs

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Reference texts

Articles 421-A, 421-1, 421-13, 421-13-1, 421-14 and 421-27 of the

- ✚ [General Regulation](#) 

- ✓ **Annexes**

Notification file for marketing in France of units or shares of AIFs of the European Union (France included) managed by an asset management

- ✚ company authorised in France

Notification letter to be completed prior to marketing units or shares of a European Union AIF managed by an asset management company

- ✚ authorised in France in a European Member State other than France

- ✚ Items to be attached to the file referred to in Annex 2-1 for each AIF

Authorisation application file for marketing in France of third country

- ✚ AIFs or AIFs managed by a manager established in a third country



✓ From 08 January 2015 to 25 June 2015 | Instruction DOC-2014-03

Procedure for marketing units or shares of AIFs

Instruction DOC-2014-03 describes the procedure for marketing AIFs in France. It also specifies the procedure for marketing in another European Union Member State of AIFs managed by a French asset management company authorised under the AIFM Directive.

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Reference texts

↘ [Article 421-1 of General Regulation](#) [↗](#)

↘ [Article 421-13 of General Regulation](#) [↗](#)

↘ [Article 421-14 of General Regulation](#) [↗](#)

✓ Annexes

Notification file for marketing in France of units or shares of AIFs of the European Union (France included) managed by an asset management company authorised in France

Notification letter to be completed prior to marketing units or shares of a European Union AIF managed by an asset management company authorised in France in a European Member State other than France

Items to be attached to the file referred to in Annex 2-1 for each AIF

Authorisation application file for marketing in France of third country AIFs or AIFs managed by a manager established in a third country

✓ From 30 June 2014 to 07 January 2015 | Instruction DOC-2014-03



Procedure for marketing units or shares of AIFs

Instruction DOC-2014-03 describes the procedure for marketing AIFs in France. It also specifies the procedure for marketing in another European Union Member State of AIFs managed by a French asset management company authorised under the AIFM Directive.

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Reference texts

- ↘ [Article 421-1 of General Regulation](#) [↗](#)
- ↘ [Article 421-13 of General Regulation](#) [↗](#)
- ↘ [Article 421-14 of General Regulation](#) [↗](#)

▼ Annexes

- Notification file for marketing in France of units or shares of AIFs of the European Union (France included) managed by an asset management company authorised in France
- Notification letter to be completed prior to marketing units or shares of a European Union AIF managed by an asset management company authorised in France in a European Member State other than France
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Keywords

[MARKETING](#)

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