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Reference (eg. DOC-2020-02), keywords...



III - Providers

III.1 - Investment services providers

III.1.1. Authorisation / Programme of operations / Passport

Applicable from 9 May 2018

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Position DOC-2017-10

Prudential assessment of acquisitions and increases of qualifying holdings in the financial sector

Document

Summary

AMF position DOC-2017-10 aims to incorporate the European supervisory authorities' joint guidelines on the prudential assessment of acquisitions and increases of qualifying holdings in asset management companies into the AMF's regulatory practices.



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Reference texts

➤ Article L. 532-9 of the Monetary and Financial Code (in French only) [↗](#)

➤ Article L. 532-9-1 of the Monetary and Financial Code (in French only) [↗](#)

➤ Articles 317-4, 317-10 to 317-14, 321-12 and 321-18 to 321-21 of the General Regulation [↗](#)

Archives

✓ From 27 November 2017 to 08 May 2018 | Position DOC-2017-10

Prudential assessment of acquisitions and increases of qualifying holdings in the financial sector

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Reference texts

➤ Article L. 532-9 of the Monetary and Financial Code (in French only) [↗](#)

➤ Article L. 532-9-1 of the Monetary and Financial Code (in French only) [↗](#)

➤ Articles 312-5, 312-11 to 312-14, 317-4 and 317-10 to 317-14 of the AMF General Regulation [↗](#)



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