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Reference (eg. DOC-2020-02), keywords...



III - Providers

III. 1 - Investment services providers

III. 1.1. Authorisation / Programme of operations / Passport

Applicable from 9 May 2018

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Position - Recommendation DOC-2009-24

Q&A on changes in the ownership structure of asset management companies

Document

Summary

Position-recommendation DOC-2009-24 provides clarifications on the scope and notification procedure for changes in the ownership structure of asset management companies (particularly including the content of the documentation to be transmitted to the AMF and the people responsible for notification).

Reference texts

Articles 317-10 to 317-14 and articles 321-18 to 321-22 of the General ▶ Regulation ☐

Archives

From 27 November 2017 to 08 May 2018 | Position -Recommendation DOC-2009-24

Q&A on changes in the ownership structure of asset management companies

Position-recommendation DOC-2009-24 provides clarifications on the scope and notification procedure for changes in the ownership structure of asset management companies (particularly including the content of the documentation to be transmitted to the AMF and the people responsible for notification).

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Reference texts

Articles 312-11 to 312-15 and Articles 317-10 to 317-14 of the AMF ✓ General Regulation ☐

From 29 July 2014 to 26 November 2017 | Position -Recommendation DOC-2009-24

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From 07 December 2009 to 28 July 2014 | Position -Recommendation DOC-2009-24

Q&A on changes in the ownership structure of asset management companies

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