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Reference (eg. DOC-2020-02), keywords...



III - Providers

III. 3 - Anti-money laundering and combating the financing of terrorism

Applicable from 18 January 2021[Print](#) [Download](#)

Position DOC-2019-17

Guidelines on the concept of politically exposed persons

Document

Summary

DOC-2019-17 presents the particular due diligence measures provided by the regulations for those persons who are exposed to particular risks on account of the political, jurisdictional or administrative functions performed by them or those around them. These guidelines have been updated to take account of the provisions transposing the 4th directive and 5th directive.

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Reference texts

- Article L. 561-10 1° of the Monetary and Financial Code (in french only) [↘](#) [↗](#)
- Article R. 561-18 of the Monetary and Financial Code (in french only) [↘](#) [↗](#)
- Article R. 561-20-2 of the Monetary and Financial Code (in french only) [↘](#) [↗](#)
- Articles 321-147, 320-20, 325-22 and 325-62 of the General Regulation [↘](#) [↗](#)

Archives

- ✓ From 29 November 2019 to 17 January 2021 | Position - Recommendation DOC-2019-17

Guidelines on the concept of politically exposed persons

DOC-2019-17 describes the special regulatory due diligence measures for persons exposed to particular risks because of the political, jurisdictional or administrative functions performed by them or those closely associated with them. These guidelines are an update to the provisions transposing the Fourth Directive, which expanded the list of political, jurisdictional and administrative functions covered to include leaders of political parties and broadened the scope of the obligations to individuals performing these functions in France (national PEPs).

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Reference texts

- Article L. 561-10 2° of the Monetary and Financial Code (in French only) [↘](#) [↗](#)

Article R. 561-18 of the Monetary and Financial Code (in French only) [↘](#) [↗](#)

Article R. 561-20-2 of the Monetary and Financial Code (in French only) [↘](#) [↗](#)

Articles 321-147, 320-20, 325-22 and 325-62 of the General Regulation [↘](#) [↗](#)

Keywords

INVESTMENT SERVICES

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