

Print from the website of the AMF

Reference (eg. DOC-2020-02), keywords...

Applicable from 6 September 2019 to 10 November 2023

Print Download

O

Instruction DOC-2014-11

Description of the AMF review process of registration applications by crowdfunding investment advisers and the transmission of annual disclosures by said advisers

Document

Summary

Pending AMF authorisation of one or more associations of crowdfunding investment advisers (CIAs), the AMF shall review the professional skills and ability of applicants to comply with the business conduct and organisational rules provided for in the AMF General Regulation. The AMF will conduct its review on the basis of an application file whose content requirements are specified in Instruction DOC-2014-11.

Download policy

Description of the AMF review process of registration applications by crowdfunding investment advisers and the...

Articles 325-61 and 325-83 of the AMF General Regulation C

Archives

 From 15 November 2016 to 05 September 2019 | Instruction DOC-2014-11

Description of the AMF review process of registration applications by crowdfunding investment advisers and the transmission of annual disclosures by said advisers

Pending AMF authorisation of one or more associations of crowdfunding investment advisers (CIAs), the AMF shall review the professional skills and ability of applicants to comply with the business conduct and organisational rules provided for in the AMF General Regulation. The AMF will conduct its review on the basis of an application file whose content requirements are specified in Instruction DOC-2014-11.

→ Download policy

Reference texts

☑ Articles 325-45 and 325-67 of the AMF General Regulation C

 From 01 October 2014 to 14 November 2016 | Instruction DOC-2014-11

Description of the AMF review process of registration applications by crowdfunding investment advisers and the transmission of annual disclosures by said advisers

This page is not available in English

 \checkmark Download policy

Legal information: Head of publications: The Executive Director of AMF Communication Directorate. Contact: Communication Directorate – Autorité des marches financiers 17 place de la Bourse – 75082 Paris cedex 02

=