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IV - Marketing - Customer relationship

IV.1 - General provisions

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Position DOC-2018-03


Placing of financial instruments without a firm commitment basis, investment advice and consultancy services provided to firms in relation to capital structure, industrial strategy and mergers and acquisitions

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Reference texts

📄 [Article L.321-1 of the Monetary and Financial Code \(in French only\)](#) [↗](#)

➤ [Article L.321-2 of the Monetary and Financial Code \(in French only\)](#) 

➤ [Article D.321-1 of the Monetary and Financial Code \(in French only\)](#) 


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