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Reference (eg. DOC-2020-02), keywords...



IV - Marketing - Customer relationship

IV.1 - General provisions

Applicable from 13 February 2024

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Position DOC-2018-03

Placing of financial instruments without a firm commitment basis, investment advice and consultancy services provided to firms in relation to capital structure, industrial strategy and mergers and acquisitions


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Reference texts

👉 [Article L.321-1 of the Monetary and Financial Code \(in French only\)](#) [↗](#)



↘ [Article L.321-2 of the Monetary and Financial Code \(in French only\)](#) 

↘ [Article D.321-1 of the Monetary and Financial Code \(in French only\)](#) 

Archives


∨ From 14 March 2018 to 12 February 2024 | Position
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