



AUTORITÉ
DES MARCHÉS FINANCIERS

Print from the website of the AMF

Reference (eg. DOC-2020-02), keywords...



Applicable from 6 November 2014 to 11 October 2022

[Print](#)

[Download](#)

Position DOC-2013-02

Collecting 'know-your-client' information

Document

Summary

This page is not available in English at the moment

Download policy

Archives










From 01 October 2013 to 05 November 2014 | Position
DOC-2013-02

Collecting 'know-your-client' information

In order to give suitable advice when marketing financial instruments, professionals must enquire about the client's requirements and needs, his financial situation and his objectives, and about his knowledge and experience in financial matters. Clarifications are therefore given about the methods for collecting information, its traceability, the quality of its content, its use, and the resources and procedures to be implemented.

 **Download policy**

Reference texts

- [Article 314-44 of the AMF General Regulation](#) 
- [Article 314-46 of the AMF General Regulation](#) 
- [Article 314-47 of the AMF General Regulation](#) 
- [Article 314-51 of the AMF General Regulation](#) 
- [Article 314-52 of the AMF General Regulation](#) 
- [Article 314-53 of the AMF General Regulation](#) 
- [Article 325-7 of the AMF General Regulation](#) 

▼ **Links**

- Recommendation on gathering customer information in the framework
- [of the duty to provide advice on life insurance policies - 2013-R-01](#) 