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## Collecting 'know-your-client' information

### Document

### Summary

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DOC-2013-02

## Collecting 'know-your-client' information

In order to give suitable advice when marketing financial instruments, professionals must enquire about the client's requirements and needs, his financial situation and his objectives, and about his knowledge and experience in financial matters. Clarifications are therefore given about the methods for collecting information, its traceability, the quality of its content, its use, and the resources and procedures to be implemented.


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### Reference texts

- ↘ [Article 314-44 of the AMF General Regulation](#) 
- ↘ [Article 314-46 of the AMF General Regulation](#) 
- ↘ [Article 314-47 of the AMF General Regulation](#) 
- ↘ [Article 314-51 of the AMF General Regulation](#) 
- ↘ [Article 314-52 of the AMF General Regulation](#) 
- ↘ [Article 314-53 of the AMF General Regulation](#) 
- ↘ [Article 325-7 of the AMF General Regulation](#) 

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### ▼ **Links**

- ↘ [Recommendation on gathering customer information in the framework of the duty to provide advice on life insurance policies - 2013-R-01](#) 

Legal information:

Head of publications: The Executive Director of AMF Communication Directorate. Contact: Communication Directorate – Autorité des marchés financiers 17 place de la Bourse - 75082 Paris cedex 02