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Reference (eg. DOC-2020-02), keywords...



Applicable from 1 January 2015 to 7 February 2020

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Position - Recommendation DOC-2014-05

Agreements on the distribution of financial instruments

Document





Summary

The legislative and regulatory provisions governing agreements drawn up between the manufacturers of financial instruments and the distributors of such instruments cover the validation of promotional communications and the transmission of information about the product being marketed. These agreements are a way of enabling better client protection as they provide for the dissemination of clear, accurate information about the product and the provision of suitable advice. Position-recommendation DOC-2014-05 specifies the content of these agreements.

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Reference texts



- [Article L. 533-9-1 of the Monetary and Financial Code](#) 
- [Article R. 533-15 of the Monetary and Financial Code](#) 
- [Article 314-10 of the AMF General Regulation](#) 
- [Article 325-5 of the AMF General Regulation](#) 

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