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Reference (eg. DOC-2020-02), keywords...



III - Providers

III. 1 - Investment services providers

III. 1.1. Authorisation / Programme of operations / Passport

Applicable from 16 May 2023

Instruction DOC-2008-03

This page is not available in English at the moment

Archives

- ▽ From 16 February 2023 to 15 May 2023 | Instruction
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Authorisation procedure for investment management companies, disclosure obligations and passporting

The AMF gives details of the autorisation procedures and process for investment management companies, certain disclosure obligations and

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Reference texts

- Articles 316-3 to 316-5, 316-10, 318-1, 319-26, 321-2 to 321-4, 321-8,
↳ 321-75 and 321-130 of the General Regulation [↗](#)

▼ Annexes

- Management company authorisation & changes: Authorisation
↳ application forms: annexes I to IV of AMF instruction DOC-2008-03 [↗](#)

- Major shareholding disclosure form: Annex 5 of AMF Instruction DOC-
↳ 2008-03 [↗](#)

- Notification forms for carrying on a business activity under the freedom
to provide services or the freedom of establishment in another
Member State: Annexes 6.1 and 6.2 of AMF Instruction DOC-2008-
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Changes to management companies: Annex 4 of AMF Instruction

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Management company passports: Annexes 6.1 and 6.2 of AMF

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Additional application forms: Annexes 1.2 to 1.9 of AMF Instruction

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Changes to management companies: Annex 4 of AMF Instruction DOC-

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Management company passports: Annexes 6.1 and 6.2 of AMF

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The AMF gives details of the autorisation procedures and process for investment management companies, certain disclosure obligations and passporting procedures for French investment management companies and foreign management companies. This document has not been updated for the laws and regulations that transpose MIF 2 and legally separate investment firms from asset management companies. The update will take place in the near future.

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- ↙ Article 311-2 of the General Regulation ↗
- ↙ Article 311-3 of the General Regulation ↗
- ↙ Article 311-7 of the General Regulation ↗

- ↳ Article 311-7-1 of the General Regulation [↗](#)
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- ↳ Article 318-1 of the General Regulation [↗](#)

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Head of publications: The Executive Director of AMF Communication Directorate. Contact: Communication Directorate - Autorité des marchés financiers 17 place de la Bourse - 75082 Paris cedex 02