



Print from the website of the AMF

Reference (eg. DOC-2020-02), keywords...



III - Providers

III.1 - Investment services providers

III.1.1. Authorisation / Programme of operations / Passport

Applicable from 28 March 2024

Instruction DOC-2008-03

This page is not available in English at the moment

Archives

- ✓ From 16 February 2023 to 15 May 2023 | Instruction DOC-2008-03

[Authorisation procedure for investment management companies, disclosure obligations and passporting](#)

The AMF gives details of the authorisation procedures and process for investment management companies, certain disclosure obligations and



passporting procedures for French investment management companies and foreign management companies.

[↓ Download policy](#)[↓ Download the full policy preview](#)

Reference texts

Articles 316-3 to 316-5, 316-10, 318-1, 319-26, 321-2 to 321-4, 321-8, 321-75 and 321-130 of the General Regulation [↗](#)

▼ Annexes

Management company authorisation & changes: Authorisation application forms: annexes I to IV of AMF instruction DOC-2008-03 [↗](#)

Major shareholding disclosure form: Annex 5 of AMF Instruction DOC-2008-03 [↗](#)

Notification forms for carrying on a business activity under the freedom to provide services or the freedom of establishment in another Member State: Annexes 6.1 and 6.2 of AMF Instruction DOC-2008-03 [↗](#)

▼ From 23 June 2021 to 15 February 2023 | Instruction DOC-2008-03

Authorisation procedure for investment management companies, disclosure obligations and passporting

[↓ Download policy](#)

▼ From 11 January 2021 to 22 June 2021 | Instruction DOC-2008-03





Authorisation procedure for investment management companies, disclosure obligations and passporting

The AMF gives details of the autorisation procedures and process for investment management companies, certain disclosure obligations and passporting procedures for French investment management companies and foreign management companies.

 [Download policy](#)

Reference texts

Articles 316-3 to 316-5, 316-10, 318-1, 319-26, 321-2 to 321-4, 321-8,  321-75 and 321-130 of the General Regulation 

✓ From 09 May 2018 to 10 January 2021 | Instruction DOC-2008-03



Authorisation procedure for investment management companies, disclosure obligations and passporting

The AMF gives details of the autorisation procedures and process for investment management companies, certain disclosure obligations and passporting procedures for French investment management companies and foreign management companies.

 [Download policy](#)

 [Download the full policy preview](#)

Reference texts

Articles 316-3 to 316-5, 316-10, 318-1, 319-26, 321-2 to 321-4, 321-8,  321-75 and 321-130 of the General Regulation 

✓ [Annexes](#)



Authorisation application forms: Annexes 1.1 to 3.2 of AMF Instruction

📄 [DOC-2008-03](#)

Additional application forms: Annexes 1.2 to 1.9 of AMF Instruction

📄 [DOC-2008-03](#) [↗](#)

Changes to management companies: Annex 4 of AMF Instruction DOC-

📄 [2008-03](#) [↗](#)

Management company passports: Annexes 6.1 and 6.2 of AMF

📄 [Instruction DOC-2008-03](#) [↗](#)

Major shareholding disclosure form: Annex 5 of AMF Instruction DOC-

📄 [2008-03](#)

✓ [From 31 January 2018 to 08 May 2018 | Instruction DOC-2008-03](#)

[Authorisation procedure for investment management companies, disclosure obligations and passporting](#)

The AMF gives details of the authorisation procedures and process for investment management companies, certain disclosure obligations and passporting procedures for French investment management companies and foreign management companies.

[📄 Download policy](#)

[📄 Download the full policy preview](#)

Reference texts

Articles 316-3 to 316-5, 316-10, 318-1, 319-26, 321-2 to 321-4, 321-8,

📄 [321-75 and 321-130 of the General Regulation](#) [↗](#)

✓ [Annexes](#)

Authorisation application forms: Annexes 1.1 to 3.2 of AMF Instruction

📄 [DOC-2008-03](#) [↗](#)



Additional application forms: Annexes 1.2 to 1.9 of AMF Instruction

↘ [DOC-2008-03](#) [↗](#)

Changes to management companies: Annex 4 of AMF Instruction DOC-

↘ [2008-03](#) [↗](#)

Management company passports: Annexes 6.1 and 6.2 of AMF

↘ [Instruction DOC-2008-03](#) [↗](#)

Major shareholding disclosure form: Annex 5 of AMF Instruction DOC-

↘ [2008-03](#) [↗](#)

✓ From 01 January 2018 to 30 January 2018 | Instruction DOC-2008-03

Authorisation procedure for investment management companies, disclosure obligations and passporting

The AMF gives details of the authorisation procedures and process for investment management companies, certain disclosure obligations and passporting procedures for French investment management companies and foreign management companies. This document has not been updated for the laws and regulations that transpose MIF 2 and legally separate investment firms from asset management companies. The update will take place in the near future.

[↓ Download policy](#)

[↓ Download the full policy preview](#)

Reference texts

Articles 311-1 to 311-3, 311-7, 311-7-1, 313-53-1, 314-98, 319-26,

↘ [316-3 to 316-5, 316-10 and 318-1 of the AMF General Regulation](#) [↗](#)

✓ **Annexes**

Authorisation application forms: Annexes 1.1 to 3.2 of AMF Instruction

↘ [DOC-2008-03](#) [↗](#)



Additional application forms: Annexes 1.2 to 1.9 of AMF Instruction

↘ [DOC-2008-03](#) [↗](#)

Changes to management companies: Annex 4 of AMF Instruction DOC-

↘ [2008-03](#) [↗](#)

Management company passports: Annexes 6.1 to 6.3 of AMF

↘ [Instruction DOC-2008-03](#) [↗](#)

Major shareholding disclosure form: Annex 5 of AMF Instruction DOC-

↘ [2008-03](#) [↗](#)

✓ **From 27 November 2017 to 31 December 2017 |
Instruction DOC-2008-03**

**Authorisation procedure for investment management companies,
disclosure obligations and passporting**

The AMF gives details of the authorisation procedures and process for investment management companies, certain disclosure obligations and passporting procedures for French investment management companies and foreign management companies.

[↓ Download policy](#)

[↓ Download the full policy preview](#)

Reference texts

Articles 311-1 to 311-3, 311-7, 311-7-1, 313-53-1, 314-98, 319-26,
↘ [316-3 to 316-5, 316-10 and 318-1 of the AMF General Regulation](#) [↗](#)

✓ **Annexes**

Authorisation application forms: Annexes 1.1 to 3.2 of AMF Instruction

↘ [DOC-2008-03](#) [↗](#)

Additional application forms: Annexes 1.2 to 1.9 of AMF Instruction

↘ [DOC-2008-03](#) [↗](#)



Changes to management companies: Annex 4 of AMF Instruction DOC-
2008-03 [↘](#) [↗](#)

Management company passports: Annexes 6.1 to 6.3 of AMF
Instruction DOC-2008-03 [↘](#) [↗](#)

Major shareholding disclosure form: Annex 5 of AMF Instruction DOC-
2008-03 [↘](#) [↗](#)

✓ From 13 October 2014 to 26 November 2017 |
Instruction DOC-2008-03

**Authorisation procedure for investment management companies,
disclosure obligations and passporting**

This version of the document is not available in English

[↘](#) **Download policy**

[↘](#) **Download the full policy preview**

Reference texts

Articles 311-1 to 311-3, 311-7, 311-7-1, 313-53-1, 314-98, 319-26,
316-3 to 316-5, 316-10 and 318-1 of the AMF General Regulation [↘](#) [↗](#)

✓ **Annexes**

Authorisation application forms: Annexes 1.1 to 3.2 of AMF Instruction
DOC-2008-03 [↘](#) [↗](#)

Additional application forms: Annexes 1.2 to 1.9 of AMF Instruction
DOC-2008-03 [↘](#) [↗](#)

Changes to management companies: Annex 4 of AMF Instruction DOC-
2008-03 [↘](#) [↗](#)

Management company passports: Annexes 6.1 to 6.3 of AMF
Instruction DOC-2008-03 [↘](#) [↗](#)



Major shareholding disclosure form: Annex 5 of AMF Instruction DOC-

2008-03 [↗](#)

- ✓ From 27 June 2014 to 12 October 2014 | Instruction DOC-2008-03

Authorisation procedure for investment management companies, disclosure obligations and passporting

[↓](#) **Download policy**

- ✓ From 10 January 2014 to 26 June 2014 | Instruction DOC-2008-03

Authorisation procedure for investment management companies, disclosure obligations and passporting

The AMF gives details of the autorisation procedures and process for investment management companies, certain disclosure obligations and passporting procedures for French investment management companies and foreign management companies.

[↓](#) **Download policy**

Reference texts








↘ [Article 311-1 of the General Regulation](#) [↗](#)

↘ [Article 311-2 of the General Regulation](#) [↗](#)

↘ [Article 311-3 of the General Regulation](#) [↗](#)

↘ [Article 311-7 of the General Regulation](#) [↗](#)



- ✎ [Article 311-7-1 of the General Regulation](#) 
- ✎ [Article 313-53-1 of the General Regulation](#) 
- ✎ [Article 316-3 of the General Regulation](#) 
- ✎ [Article 316-4 of the General Regulation](#) 
- ✎ [Article 316-5 of the General Regulation](#) 
- ✎ [Article 316-10 of the General Regulation](#) 
- ✎ [Article 318-1 of the General Regulation](#) 

Legal information:

Head of publications: The Executive Director of AMF Communication Directorate. Contact: Communication Directorate – Autorité des marchés financiers 17 place de la Bourse – 75082 Paris cedex 02

