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Reference (eg. DOC-2020-02), keywords...



III - Providers

III.1 - Investment services providers

III.1.1. Authorisation / Programme of operations / Passport

Applicable from 28 March 2024

Instruction DOC-2008-03

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Archives

- ✓ From 16 February 2023 to 15 May 2023 | Instruction DOC-2008-03

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Articles 316-3 to 316-5, 316-10, 318-1, 319-26, 321-2 to 321-4, 321-8, 321-75 and 321-130 of the General Regulation [↗](#)

▼ Annexes

Management company authorisation & changes: Authorisation application forms: annexes I to IV of AMF instruction DOC-2008-03 [↗](#)

Major shareholding disclosure form: Annex 5 of AMF Instruction DOC-2008-03 [↗](#)

Notification forms for carrying on a business activity under the freedom to provide services or the freedom of establishment in another Member State: Annexes 6.1 and 6.2 of AMF Instruction DOC-2008-03 [↗](#)

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Authorisation procedure for investment management companies, disclosure obligations and passporting

The AMF gives details of the authorisation procedures and process for investment management companies, certain disclosure obligations and passporting procedures for French investment management companies and foreign management companies. This document has not been updated for the laws and regulations that transpose MIF 2 and legally separate investment firms from asset management companies. The update will take place in the near future.

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- ✎ [Article 311-7-1 of the General Regulation](#) 
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