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III - Providers

III. 1 - Investment services providers

III. 1.2. Organisational rules

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Instruction DOC-2006-09

Examination for the issuance of professional licences to compliance and internal control officers and investment services compliance officers

Document



Reference texts

Articles 313-32, 313-42, 318-23 and 318-33 of the General

Archives

From 14 December 2017 to 25 June 2018 | Instruction DOC-2006-09

Examination for the issuance of professional licences to compliance and internal control officers and investment services compliance officers



Reference texts

Articles 313-32, 313-42, 318-23 and 318-33 of the General

From 27 May 2014 to 13 December 2017 | Instruction DOC-2006-09

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From 03 May 2012 to 26 May 2014 | Instruction DOC-2006-09

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