AUTORITÉ DES MARCHÉS FINANCIERS

Print from the website of the AMF

Reference (eg. DOC-2020-02), keywords...

III - Providers

III. 1 - Investment services providers

III. 1.3. Rules of conduct

NEXT VERSION ON 01 JANUARY 2027

Applicable from 22 April 2025 to 31 December 2026
Position - Recommendation DOC-2013-10

Print

Inducements and fees received in connection with the distribution and discretionary management of financial instruments

Document

Summary

Position-Recommendation DOC-2013-10 clarifies the application of the regime governing inducements and fees received in connection with the distribution and discretionary management of financial instruments.

Download policy

Reference texts

- Article L. 533-10 II 3° of the Monetary and Financial Code (in French only)
- Article L. 533-12-4 of the Monetary and Financial Code (in French only)
- Article L. 542-1 of the Monetary and Financial Code (in French only)
- Articles 314-13 to 314-20 of the General Regulation
- Article 325-16 of the General Regulation
- Articles 33 to 34 of Delegated Regulation (EU) 2017/565

Upcoming version

Applicable from 01 January 2027
Position - Recommendation DOC-2013-10

Inducements and fees received in connection with the distribution and discretionary management of financial instruments

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Download policy

Reference texts

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- Articles 314-13 to 314-20 of the General Regulation
- Article 325-16 of the General Regulation
- Articles 33 to 34 of Delegated Regulation (EU) 2017/565

Archives

From 14 April 2021 to 21 April 2025 | Position - Recommendation DOC-2013-10

Inducements and fees received in connection with the distribution and discretionary management of financial instruments

From 17 January 2020 to 13 April 2021 | Position - Recommendation DOC-2013-10

Rémunérations et avantages reçus dans le cadre de la commercialisation et de la gestion sous mandat d'instruments financiers

This page is not available in English at the moment.

From 01 December 2015 to 16 January 2020 | Position - Recommendation DOC-2013-10

Rémunérations et avantages reçus dans le cadre de la commercialisation et de la gestion sous mandat d'instruments financiers

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From 10 July 2013 to 30 November 2015 | Position - Recommendation DOC-2013-10

Rémunérations et avantages reçus dans le cadre de la commercialisation et de la gestion sous mandat d'instruments financiers

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Reference texts

- Article 314-76
- Article 325-6

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