Book I - The Autorité des marchés financiers

Title IV - Inspections and investigations by the Autorité des marchés financiers

Chapter 3 - Supervision of persons referred to in section II of article L. 621-9 of the Monetary and Financial code

General regulation of the AMF

Article 143-5 into force since 25 November 2004

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Article 143-5

Post-inspection reports are transmitted to the inspected entity or body corporate. Transmittal does not take place, however, if the Board, alerted by the Chief Executive, observes that a report describes facts which are capable of being characterised as criminal and deems that such transmittal could interfere with legal proceedings. The entity or body corporate to which a report has been transmitted is requested to submit its observations to the Secretary General of the AMF within a specified period, which cannot be less than ten days. These observations are forwarded to the Board if it when it examines the report in accordance with Section I of Article L. 621-15 of the Monetary and Financial Code.

Version into force since 25 November 2004