

Book III - Service providers

Title II - Other service providers

Chapter I - Custody account-keepers

Section unique - Provisions relating to custody account-keeping - terms of reference for the custody account-keeper

Sub-section 2 - Professional obligations of the keepers of securities accounts other than the issuing entities

Paragraph 2 - Relationships with customers

Sub-paragraph 2 - General provisions relating to the services provided and to the protection afforded to clients

General regulation of the AMF

Article 322-11 into force since 03 January 2018

DISCLAIMER : Information boxes have been inserted within the General Regulation. They allow for a direct access to the relevant European regulations on the subject matter.

The user will be redirected to the European regulations as initially published in the Official Journal of the European Union and to the subsequent corrigenda, if any. The AMF does not guarantee the completeness of the redirections to these European regulations and corrigenda.

The boxes are located at the most relevant level of the GRAMF depending on the provision of the EU regulations to which they refer (Book, Title, Chapter, Section, etc.).

This additional material is provided for information purposes only and does not constitute a regulatory instrument. The AMF shall not be held liable or responsible for any harm resulting directly or indirectly from the provision or the use of these information boxes.

Article 322-11

Where it carries out operations for its own account, which oblige it to deliver financial securities, whether related or not to operations carried out by clients, the custody account-keeper shall be obliged to ensure that it is able to proceed with this delivery on the planned date of settlement and delivery and shall take, where applicable, any measure enabling it to proceed with the delivery of the said securities on the said date, pursuant to Point 2° of Article 312-15.

↘ **Version into force since 3 January 2018**

