

## Book III - Service providers

### Title II - Other service providers

#### Chapter V - Financial investment advisers

##### Section 3 - Organisational rules

###### Sub-section 1 - General provisions

## General regulation of the AMF

### Article 325-22 into force since 26 November 2020

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The user will be redirected to the European regulations as initially published in the Official Journal of the European Union and to the subsequent corrigenda, if any. The AMF does not guarantee the completeness of the redirections to these European regulations and corrigenda.

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#### Article 325-22

The financial investment adviser shall apply the provisions of Articles 321-141 and 321-143 to 321-150, with the exception of:

- 1 • Those relating to the annual internal control report provided for in 8° and 9° of Article 321-147;
- 2 • Article 321-149.

If the financial investment adviser is not a legal entity, he or she shall be responsible for implementing the system referred to in Article L. 561-32 of the Monetary and Financial Code.

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✚ **Version into force since 26 November 2020**

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✚ Version into force from 8 June 2018 to 25 November 2020

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✚ Version into force from 31 December 2007 to 7 June 2018