

Book V - Market infrastructures

Title I - Regulated markets and market operators

Chapter II - Organisational rules for market operators and rules of conduct

Section 4 - Issuance of a professional licence to certain members of staff of a market operator and the conditions in which they perform their duties

General regulation of the AMF

Article 512-12 into force since 03 January 2018

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Article 512-12

The person or persons referred to in Article 512-8 shall draw up a yearly report on the conditions in which they carry out their duties. This report shall be submitted to the executive body of the market operator, as well as to the AMF, no later than four months after the close of the financial year.

The report shall include:

- 1 • a description of how supervision and monitoring are organised;
- 2 • a list of the tasks performed in carrying out these duties;
- 3 • any observations made by the responsible person;
- 4 • measures adopted as a result of such observations.

↘ **Version into force since 3 January 2018**