

## Book III - Service providers

### Title I bis - Asset management companies of AIFs

#### Chapter III - Organisational rules

##### Section 4 - Verification of the knowledge of specified persons

## General regulation of the AMF

### Article 318-9 into force from 09 February 2019 to 31 December 2019

DISCLAIMER : Information boxes have been inserted within the General Regulation. They allow for a direct access to the relevant European regulations on the subject matter.

The user will be redirected to the European regulations as initially published in the Official Journal of the European Union and to the subsequent corrigenda, if any. The AMF does not guarantee the completeness of the redirections to these European regulations and corrigenda.

The boxes are located at the most relevant level of the GRAMF depending on the provision of the EU regulations to which they refer (Book, Title, Chapter, Section, etc.).

This additional material is provided for information purposes only and does not constitute a regulatory instrument. The AMF shall not be held liable or responsible for any harm resulting directly or indirectly from the provision or the use of these information boxes.

#### Article 318-9

I. -

- 1 • the Financial Skills Certification Board issues opinions at the request of the AMF concerning certification of the professional knowledge of natural persons acting under the authority or on behalf of asset management companies and performing one of the functions referred to in Article 318-7 (II);
- 2 • when rendering opinions, the Financial Skills Certification Board considers the possibility of establishing equivalencies with similar schemes abroad.

II. - Further to an opinion of the Financial Skills Certification Board, the AMF:

- 1 • determines the content of the minimum knowledge to be acquired by natural persons acting under the authority or on behalf of an asset management company and performing one of the functions referred to Article 318-7 (II). It shall publish that content;
- 2 • sees to it that the minimum knowledge content is updated;

- 3 • determines and verifies the arrangements for the examinations that validate acquisition of the minimum knowledge;
- 4 • certifies examinations for a two-year period within three months of the filing of applications. This deadline shall be extended as necessary until requests for further information are met. Certification can be renewed for a three-year period.
- 5 • the AMF shall charge an application fee when applications for certification are filed.

---

↘ Version into force since 23 April 2021

---

↘ Version into force from 26 November 2020 to 22 April 2021

---

↘ Version into force from 1 January 2020 to 25 November 2020

---

↘ **Version into force from 9 February 2019 to 31 December 2019**

---

↘ Version into force from 3 January 2018 to 8 February 2019