

Book III - Service providers

Title I - Investment services providers

Chapter II - Organisational rules

Section 4 - Professional licences

Sub-section 1 - General provisions

General regulation of the AMF

Article 312-22 into force since 03 January 2018

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The user will be redirected to the European regulations as initially published in the Official Journal of the European Union and to the subsequent corrigenda, if any. The AMF does not guarantee the completeness of the redirections to these European regulations and corrigenda.

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Article 312-22

A natural person may perform one of the functions referred to in Article 312-20 on a trial basis or temporarily, without holding the required professional licence, for a maximum period of six months that can be renewed once.

Use of this exception by an investment services provider for traders, clearers and investment analysts shall require the prior consent of the compliance officer for investment services.

The function of compliance officer for investment services may only be performed on a trial basis or temporarily with the prior consent of the AMF.

⚡ **Version into force since 3 January 2018**