

## Book III - Service providers

### Title I - Investment services providers

#### Chapter II - Organisational rules

##### Section 4 - Professional licences

Sub-section 2 - Professional licences issued by the AMF

## General regulation of the AMF

### Article 312-29 into force since 03 January 2018

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#### Article 312-29

The AMF shall issue the professional licenses of the persons performing the functions of compliance officers for investment services. For this purpose, the AMF shall organise a professional examination under the terms referred to in Articles 312-33 to 312-35.

However, where investment services providers appoint one of their senior managers to the function of compliance officer, that person shall hold the relevant professional license. He shall not be required to pass the examination provided for in the first paragraph.

↘ **Version into force since 3 January 2018**

