

## Book III - Service providers

### Title I - Investment services providers

#### Chapter II - Organisational rules

##### Section 4 - Professional licences

Sub-section 2 - Professional licences issued by the AMF

## General regulation of the AMF

### Article 312-30 into force since 03 January 2018

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#### Article 312-30

Before issuing the professional license, the AMF shall verify:

- 1 • that the relevant natural person is fit and proper, that he is familiar with the professional requirements and capable of performing the functions of a compliance officer for investment services.
- 2 • that pursuant to II of Article 312-3, the investment services provider has conducted an internal verification or an examination as stipulated in 3° of II of Article 312-5 to ensure that the relevant person has the minimum knowledge mentioned in 1° of II of Article 312-5.
- 3 • that the investment services provider complies with the provisions of Paragraph 3 of Article 22 of Commission Delegated Regulation (EU) 2017/565 of 25 April 2016.

⚡ **Version into force since 3 January 2018**