

Book III - Service providers

Title I ter - Asset management companies of UCITS

Chapter II - Authorisation requirements for asset management companies and for acquiring or increasing an equity interest in an asset management company

Section 1 - Authorisation requirements

General regulation of the AMF

Article 321-12 into force since 03 January 2018

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Article 321-12

The asset management company shall disclose the identities of legal entities or individuals who are direct or indirect shareholders with qualifying holdings as well as the amounts of their holdings. The AMF shall assess the quality of the company's shareholders having regard to the need for sound and prudent management and proper performance of its own supervisory responsibilities. It shall make the same assessment of partners and members in an economic interest grouping.

📌 Version into force since 3 January 2018