

Book III - Service providers

Title I ter - Asset management companies of UCITS

Chapter III - Organisational rules

Section 8 - Professional licences

Sub-section 2 - Compliance and internal control officer professional licence issuance

General regulation of the AMF

Article 321-62 into force since 03 January 2018

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Article 321-62

The AMF shall issue compliance and internal control officer professional licenses to the persons performing such functions. For this purpose, the AMF shall organise a professional examination under the terms referred to in Articles 321-66 to 321-68.

However, where asset management companies appoint one of their effective managers within the meaning of Article L. 532-9, II, 4° of the Monetary and Financial Code to the function of compliance and internal officer, that person shall hold the relevant professional license. He or she shall not be required to take the examination provided for in the first paragraph.

↘ **Version into force since 3 January 2018**