

Book III - Service providers

Title I ter - Asset management companies of UCITS

Chapter V - Other provisions

Section 1 - Management of inside information and restrictions to be applied within authorised asset management companies

Sub-section 3 - Restricted list

General regulation of the AMF

Article 321-140 into force since 03 January 2018

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Article 321-140

Asset management companies shall determine, based on the restricted list, which entities are subject to the restrictions referred to in Article 321-139 and how those restrictions shall apply.

They shall inform the relevant persons affected by the restrictions of the list and the nature of the restrictions.

📌 **Version into force since 3 January 2018**