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SEC-2021- MoU to allow French Security Based Swap Dealers (SBSD) to benefit from a substituted compliance regime

Memorandum of understanding between the Securities and Exchange Commission of the United States, the Autorité des marchés financiers of France and the Autorité de contrôle prudentiel et de résolution of France, concerning consultation, cooperation and the exchange of information related to the Supervision and oversight of certain cross-border over-thecounter derivatives entities in connection with the use of substituted compliance by such entities, on July 23, 2021

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Keywords

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