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Summary of SPOT inspections on bond post-trade transparency


In accordance with the supervision priorities of the Autorité des Marchés Financiers (AMF) for 2021 and in relation with the strategic supervision priorities of the European Union, a series of short thematic “SPOT” inspections relating to post-trade transparency for fixed-income products were carried out during the period from July to December 2021. These inspections were conducted within the framework of the provisions introduced by Regulation (EU) No 600/2014 of 15 May 2014 on markets in financial instruments (MiFIR) which came into force on 3 January 2018, and the subsequent Delegated Regulations. The investigations covered a sample group of five investment services providers and covered a period ranging from 3 January 2018 to 30 June 2021.

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