



Print from the website of the AMF

23 May 2022

## Summary of SPOT inspections regarding the implementation in France of the ESMA-coordinated supervisory exercise on the costs and fees of UCITS marketed to retail clients


As announced by the Autorité des Marchés Financiers (AMF) when it presented its supervisory priorities for 2021, the AMF took part in the joint supervisory exercise coordinated by ESMA on the costs and fees of UCITS marketed to retail clients (referred to in this document as retail UCITS). Specifically, based on a methodology defined by ESMA, the AMF (i) carried out a review, on a representative sample of asset management companies (AMCs) and UCITS, of practices for defining, managing and controlling the costs and fees of retail UCITS; (ii) assessed the extent to which these practices comply with the regulatory requirements set out in the UCITS IV Directive and its implementing regulations, which were the basis for ESMA's supervisory briefing published on 4 June 2020; and (iii) identified good and poor practices in this area.

 Download content

Keywords

UCIT

## ON THE SAME TOPIC

 Subscribe to our alerts and RSS feeds

NEWS

ASSET MANAGEMENT

09 June 2022

Assessing appropriateness and execution only in MiFID II: the AMF applies the ESMA guidelines



NEWS

EUROPE &amp; INTERNATIONAL

02 June 2022

The AMF reiterates its call for a European regulation of ESG data, ratings, and related services



AMF NEWS RELEASE

SUPERVISION

23 May 2022

The AMF publishes a summary of its findings regarding the costs and fees of UCITS marketed to retail investors



## Legal information:

Head of publications: The Executive Director of AMF Communication Directorate. Contact: Communication Directorate – Autorité des marchés financiers 17 place de la Bourse – 75082 Paris cedex 02