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Reference (eg. DOC-2020-02), keywords...



## IV - Marketing - Customer relationship

### IV.1 - General provisions

**Applicable from 12 October 2022**[Print](#) [Download](#)

Position DOC-2022-03

### MIFID II appropriateness and execution-only requirements

#### Document

#### Summary

AMF Position DOC-2022-03 incorporates the ESMA guidelines on “certain aspects of the MiFID II appropriateness and execution-only requirements” (ESMA35-43-3006). The purpose of these Guidelines is to clarify the application of these requirements and foster greater European convergence, by focusing on a number of important issues.

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## Reference texts

Article L. 533-13 II and III of the Monetary and Financial Code (in french only) [↗](#)

Article 56 of Delegated Regulation (EU) 2017/565 [↗](#)

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