

Book III - Service providers

Title I - Investment services providers

Chapter IV - Conduct of business rules

Section 9 - Reporting to the AMF

General regulation of the AMF

Article 314-32 into force since 08 May 2023

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The user will be redirected to the European regulations as initially published in the Official Journal of the European Union and to the subsequent corrigenda, if any. The AMF does not guarantee the completeness of the redirections to these European regulations and corrigenda.

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Article 314-32

Within six months of the end of the financial year, investment services providers shall send the AMF, in accordance with Article D. 533-16-1 of the Monetary and Financial Code, an annual report containing the information referred to in III of the same article.

Pursuant to Article L. 621-8-4 of the Monetary and Financial Code, the investment services providers referred to in the first paragraph shall send the AMF:

- 1 • The information required by an AMF instruction to enable the work prescribed by Article 4 of Decree 2021-663 of 27 May 2021 to be carried out. This information shall be sent to the AMF within one month of publication of the annual report referred to in the first paragraph of this article;
- 2 • The information required by Article 4 of Commission Delegated Regulation (EU) 2022/1288 of 6 April 2022 shall be sent to the AMF by the same date as that provided for in this article.

⚡ **Version into force since 8 May 2023**