AUTORITÉ DES MARCHÉS FINANCIERS

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10 September 2026

Summary of SPOT inspections relating to telephone conversation recordings by asset management companies

As announced in its supervisory priorities for 2025, the Autorité des Marchés Financiers (AMF) has conducted a series of short thematic inspections (SPOTs) on the telephone conversation recordings that may lead to the provision of an investment service or the conclusion of a transaction, within five asset management companies (AMCs). The inspections covered the period from 1 January 2023 to 31 December 2025.

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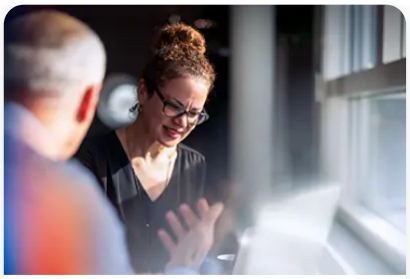

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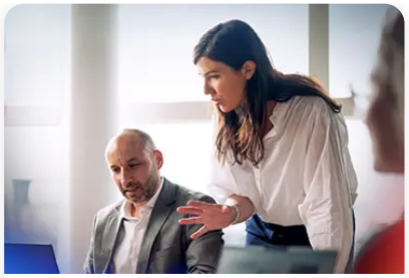

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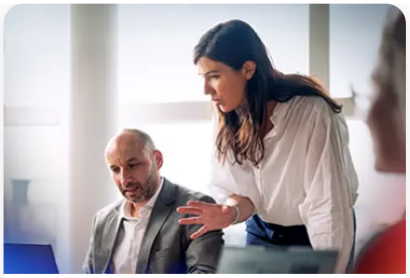

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