AUTORITÉ DES MARCHÉS FINANCIERS

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24 September 2026

The AMF updates its doctrine applicable to UCITS and AIF depositaries

Following the approval of the amendments to its General Regulation, the Autorité des Marchés Financiers (AMF) is updating its instruction DOC-2016-01 applicable to UCITS and AIF depositaries to clarify how information should be provided to the AMF.

The depositaries of undertakings for collective investment in transferable securities (UCITS) and alternative investment funds (AIFs) must, in accordance with the applicable European laws, draw up and implement procedures to deal with situations in which irregularities or anomalies have been detected. These procedures include alerting the competent authorities if the situation cannot be rectified.

Furthermore, and in accordance with recent changes to its General Regulation, these market participants must now inform the AMF every quarter of any significant anomaly or irregularity that has already been resolved during the quarter in question.

In order to make it easier for depositaries to alert and inform the AMF, instruction DOC-2016-01 has been updated to provide a reminder of the applicable provisions and to include, in its annex, a table for providing information to the AMF about the various anomalies or irregularities observed. In particular, depositaries must provide information about any irregularity which could not be rectified and any remediation measures put in place, as well as quarterly information about any significant anomaly or irregularity observed and rectified in the course of their work.

All the required information is emailed to the AMF to the generic address: PSI@amf-france.org URL = [mailto:PSI@amf-france.org].

The guide for UCITS and AIF depositaries has also been updated to remind depositaries of how to alert the AMF to irregularities that have not been rectified by referring to Instruction DOC-2016-01.

En savoir plus

- Decision of 28 August 2026 approving amendments to the General Regulation of the Autorité des Marchés Financiers (in French only)
- AMF Instruction DOC-2016-01: Procedures for authorising and examining the specifications of UCITS and AIF depositaries and how to provide information to the AMF (available in French only at the date of the publication)
- AMF Instruction DOC-2016-01: Apparent changes (in French only)
- UCITS and AIF depositaries guide
- UCITS and AIF depositaries guide: Apparent changes

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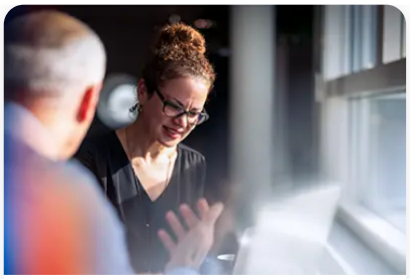

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ASSET MANAGEMENT

24 September 2026

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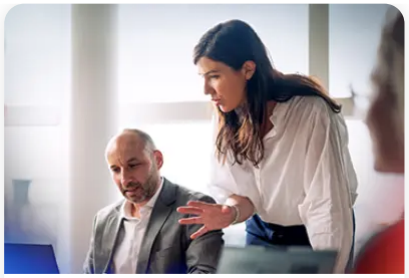

NEWS

ASSET MANAGEMENT

15 September 2026

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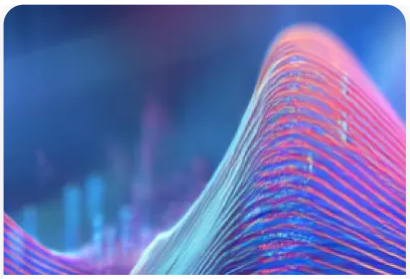

SPOT INSPECTIONS

MIFID

10 September 2026

Summary of SPOT inspections relating to telephone conversation recordings by asset management companies

Legal information:
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