



EIOPA Guidelines on PEPP Supervisory Reporting

Reference text: Article 40 of Regulation (EU) 2019/1238 of the European Parliament and of the Council of 20 June 2019 on a pan-European Personal Pension Product (PEPP).

The AMF complies with the EIOPA guidance¹ on supervisory reports on pan-European Personal Pension Products (PEPP) under Article 40 of Regulation (EU) 2019/1238.

These guidelines are addressed to:

- the competent authorities within the meaning of Article 2(18) of the PEPP Regulation, including the AMF; and
- the financial institutions which are PEPP providers within the meaning of Article 2(15) of the PEPP Regulation. At a national level, they concern the PEPP providers referred to in article L. 621-9, paragraph II, 22², of the Monetary and Financial Code, for which the AMF is the competent authority in accordance with the provisions of article L. 621-20-10 of the same code³.

These guidelines specify the nature, scope and format of the information that PEPP providers must provide to the competent authorities at pre-defined intervals and upon the occurrence of pre-defined events.

The AMF applies these guidelines when supervising PEPP providers under its jurisdiction.

¹ European Insurance and Occupational Pensions Authority.

²"II. - *The Autorité des Marchés Financiers shall also ensure compliance with the professional obligations imposed by law and regulation on the following entities or persons and on the natural persons placed under their authority or acting on their behalf:* (...)

22. *Providers of pan-European Personal Pension Products mentioned in Article L. 621-20-10.*

The Autorité des Marchés Financiers shall ensure that the same entities or persons, as well as natural persons under their authority or acting on their behalf, comply with the provisions of the applicable European regulations."

³*"The Autorité des Marchés Financiers is the competent authority within the meaning of Article 2(18) and Article 6(6) of Regulation (EU) 2019/1238 of the European Parliament and of the Council of 20 June 2019 on a pan-European Personal Pension Product (PEPP) with regard to the providers of these products referred to in Article 6(1)(a), provided that these providers are authorised to provide investment services referred to in Article L. 321-1 of this Code, as well as with regard to those mentioned in d, e and f of paragraph 1 of Article 6 of the aforementioned Regulation (EU) 2019/1238 of the European Parliament and of the Council of 20 June 2019."*

These guidelines are available on the EIOPA website at the following addresses:

- In French:

https://www.eiopa.europa.eu/document/download/eb11f3f9-71ab-45d3-a0fb-9746eb401b23_fr?filename=Guidelines%20on%20PEPP%20supervisory%20reporting

- In English:

https://www.eiopa.europa.eu/document/download/eb11f3f9-71ab-45d3-a0fb-9746eb401b23_en?filename=Guidelines%20on%20PEPP%20supervisory%20reporting